



## Audit and Risk Management Committee

**Date:** THURSDAY, 1 OCTOBER 2020

**Time:** 2.00 pm

**Venue:** VIRTUAL PUBLIC MEETING (ACCESSIBLE REMOTELY)

<b>Members:</b>	Randall Anderson	Alderman Ian Luder
	Alexander Barr	Paul Martinelli
	Chris Boden	Caroline Mawhood (External Member)
	Hilary Daniels (External Member)	Jeremy Mayhew (Ex-Officio)
	Anne Fairweather	Andrien Meyers
	Marianne Fredericks (Ex-Officio)	John Petrie
	Alderman Prem Goyal	Ruby Sayed
	Deputy Jamie Ingham Clark (Ex-Officio)	Dan Worsley (External Member)

**Enquiries:** Chloe Rew  
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### Accessing the virtual public meeting

**Members of the public can observe this virtual public meeting at the below link:**

<https://youtu.be/x2WRMdBdrX8>

This meeting will be a virtual meeting and therefore will not take place in a physical location following regulations made under Section 78 of the Coronavirus Act 2020. A recording of the public meeting will be available via the above link following the end of the public meeting for up to one municipal year. Please note: Online meeting recordings do not constitute the formal minutes of the meeting; minutes are written and are available on the City of London Corporation's website. Recordings may be edited, at the discretion of the proper officer, to remove any inappropriate material.

**John Barradell**  
Town Clerk and Chief Executive

# **AGENDA**

## **Part 1 - Public Agenda**

1. **APOLOGIES**

2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

3. **ORDER OF THE COURT**

To receive the Order of the Court of Common Council dated 16 July 2020 appointing the Committee and setting its terms of reference.

**For Information**  
(Pages 1 - 2)

4. **ELECTION OF A CHAIRMAN**

To elect a Chairman in accordance with Standing Order 29.

**For Decision**

5. **ELECTION OF DEPUTY CHAIRMEN**

To elect 1 Deputy Chairman (Member) in accordance with Standing Order 30, and 1 Deputy Chairman (External) in accordance with a convention adopted by the Committee on 6 March 2018.

**For Decision**

6. **VOTE OF THANKS**

**For Decision**

7. **MINUTES**

To agree the public minutes and non-public summary of the meeting held on 14 July 2020.

**For Decision**  
(Pages 3 - 6)

8. **APPOINTMENT TO SUB-COMMITTEES**

Report of the Town Clerk & Chief Executive.

**For Decision**  
(Pages 7 - 12)

9. **OUTSTANDING ACTIONS OF THE COMMITTEE**

Members are asked to note the Committee's Outstanding Actions List.

**For Information**  
(Pages 13 - 14)

10. **COMMITTEE WORK PROGRAMME**

Members are asked to note the Committee's Work Programme.

**For Information**  
(Pages 15 - 18)

**Financial Statements**

11. **BRIDGE HOUSE ESTATES ANNUAL REPORT AND FINANCIAL STATEMENTS 2019/20**

Report of the Chamberlain.

**For Decision**  
(Pages 19 - 148)

**Internal Audit**

12. **INTERNAL AUDIT UPDATE REPORT**

Report of the Head of Audit and Risk Management.

**For Information**  
(Pages 149 - 158)

**Risk Management**

13. **RISK UPDATE**

Report of the Chamberlain.  
Non-public appendices found at Agenda Item 20.

**For Information**  
(Pages 159 - 190)

14. **DEEP DIVE RISK REVIEWS**

a) **CR29: Information Management Programme Progress Update and CR29 Information Management Deep Dive**

Report of the Town Clerk & Chief Executive.

**For Information**  
(Pages 191 - 198)

b) **CR30: Refresh of CR30 for Implementation Phase of Climate Action Strategy**

Report of the Town Clerk & Chief Executive.

**For Information**  
(Pages 199 - 208)

## **External Inspections**

### **15. ANNUAL SUMMARY OF HMICFRS INSPECTIONS AND REPORTS**

Report of the Commissioner of the City of London Police.

**For Information**  
(Pages 209 - 214)

### **16. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

### **17. ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

### **18. EXCLUSION OF THE PUBLIC**

**MOTION**, that – under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

**For Decision**

## **Part 2 - Non-Public Agenda**

### **19. NON-PUBLIC MINUTES OF THE PREVIOUS MEETING**

To agree the Non-Public minutes of the meeting held on 14 July 2020.

**For Decision**  
(Pages 215 - 216)

### **20. NON-PUBLIC APPENDICES TO THE RISK UPDATE**

Non-Public Appendices to be read in conjunction with the report of the Chamberlain at Agenda Item 13 – Risk Update.

**For Information**  
(Pages 217 - 232)

### **21. NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

### **22. ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**

## **Part 3 - Confidential Agenda**

### **23. CONFIDENTIAL MINUTES OF THE PREVIOUS MEETING**

To agree the confidential minutes of the previous meeting held 14 July 2020.

**For Decision**

24. **CONFIDENTIAL QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**
25. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND THE COMMITTEE AGREES SHOULD BE CONSIDERED IN CONFIDENTIAL SESSION**